



16 April 2021

H.E. António Guterres  
Secretary-General  
United Nations  
New York, NY, 10017  
USA

**Minderoo Foundation Communication on Engagement: April 2019 to April 2021.**

Dear Secretary-General,

The Minderoo Foundation Pty Ltd ABN 18 098 198 684 as trustee for The Minderoo Foundation Trust (**Minderoo**) is a modern philanthropic organisation that aims to arrest unfairness and create opportunities to better the world. We take on tough, persistent issues with the potential to drive massive change. We incubate ideas and accelerate impact. We push the limits of what is believed possible.

In this Communication on Engagement, we describe the actions that Minderoo has taken across a number of its initiatives in support of the Ten Principles in the areas of Human Rights, Labour, Environment and Anti-Corruption through our [Modern Slavery Statement](#) in particular. We also have a Foundation-wide [Climate Policy](#) that upholds these principles.

We are proud to submit this to the United Nations Global Compact and remain committed to continuing to support the Global Compact and its principles.

Yours,

Andrew Hagger  
Chief Executive Officer



## PART II. Description of Actions

Information detailed in Part II and Part III can be supported by our most recent Annual Reports:

- Minderoo Foundation Annual Report 2019, Available [here](https://cdn.minderoo.org/content/uploads/2019/11/25060349/Minderoo-Annual-Report-2019.pdf):  
(<https://cdn.minderoo.org/content/uploads/2019/11/25060349/Minderoo-Annual-Report-2019.pdf>)
- Minderoo Foundation Annual Report 2020, Available [here](https://cdn.minderoo.org/content/uploads/2021/01/09112639/Minderoo-Foundation-Annual-Report.pdf):  
(<https://cdn.minderoo.org/content/uploads/2021/01/09112639/Minderoo-Foundation-Annual-Report.pdf>)

### WALK FREE

**We can end modern slavery in our lifetime.**

Walk Free is an initiative of the Minderoo Foundation. It was originally founded in 2010 with a mission to end all forms of modern slavery in our lifetime and is consistent with Minderoo's commitment to achieving the Sustainable Development Goals, particularly Target 8.7 and the eradication of modern slavery by 2030.

Walk Free takes on this challenge through a combination of direct implementation, grassroots community engagement, and working in partnership with faiths, businesses, academics, NGOs, and governments around the world. A list of specific examples for the work undertaken is provided below.

#### Business & Investor Engagement:

- In place of the postponed Intersessional Government and Business Forum (GABF) and Bali Process Ministerial Conference, two sector specific Consultation and Innovation Sessions were hosted virtually. The two sessions were attended by 200 delegates from 25 countries and included industry leaders, civil society experts and government representatives. Key proposals from each session were presented to the Bali Process Senior Officials' meeting in late 2020.
  - Financial Sector: The first Consultation and Innovation Session focused on the role the financial sector can play to combat human trafficking and modern slavery in supply chains. It was co-hosted with the Liechtenstein Initiative for Finance Against Slavery and Trafficking (FAST). Dr Seree Nonthasoot, Governor of the Stock Exchange of Thailand and Dr Srikantha Yathip, Secretary General of Thailand's Government Pension Fund presented on the panel alongside investors based in Australia, New Zealand and Singapore. Proposals put forward included a financial inclusion initiative for vulnerable people; an invitation for investors to identify and address labour risks in investment portfolios and an opportunity for banks to better understand how to leverage financial data to combat trafficking.
  - Fishing Sector: The second Consultation and Innovation Session focused on key issues such as ethical recruitment, traceability and the use of technology to identify illegal fishing and labour practices. Undersecretary Emmeline Aglipay-Villar, Department of Justice of the Philippines presented alongside technical experts and sustainability professionals. Proposals put forward included an opportunity to test a model Secure Digital Identity designed to enable safe and legal migration for workers; innovative



technology to monitor fishing vessels and identify forced labour and illegal fishing practices and a presentation on resources for governments.

- Walk Free presented to the Bali Process Senior Officials' Meeting; Global Forum on Migration and Development's Bali Process Regional Consultation and, on two occasions, to the Bali Process Trafficking in Persons Working Group with a focus on supply chains.
- Walk Free developed a [Toolkit for Business & Investors](#). This library of open source resources helps organisations to understand and address modern slavery within their operations and supply chain.
- Walk Free released the report [Protecting People in a Pandemic](#). This publication examines key risks that COVID-19 presents for migrant and other vulnerable workers. The report provides practical guidance and current examples of measures that business and government can take to mitigate those risks.
- Walk Free in partnership with the Liechtenstein Initiative for Finance Against Slavery and Trafficking (FAST) recently launched [Investors Against Slavery and Trafficking, Asia Pacific](#) (IAST APAC). The two organisations will continue to work together as the secretariat.
  - IAST APAC is an investor-led initiative convened to promote effective action among investee companies in the APAC region to find, fix and prevent modern slavery, labour exploitation and human trafficking in their value chains.
  - Coinciding with the launch, the group issued a statement of investor expectations on modern slavery reaching the top listed ASX 100 companies. 24 investor signatories representing 5.8tn in AUM were signed on to the statement.
  - IAST APAC is convened by First Sentier Investors, with a Steering Committee comprising Australian Super, Aware Super, Fidelity International, Ausbil Investment Management Limited, and the Australian Council of Superannuation Investors (ACSI).
- Walk Free contributed its expertise to a number of business collaborations in Australia including the Global Compact Network Australia; the Energy Procurement Supply Association and the WA Modern Slavery Collaborative (WAMSc).
  - Walk Free will continue its involvement, including participation in the Remediation Working Group of the WAMSc, a collaborative of energy and extractive companies based in Perth, Western Australia.

#### Walk Free Research:

- During 2019 we launched our *Measurement, Action, Freedom (MAF)* Report in New York City, which highlighted that SDG 8.7 is not on track and the need for indicators under the United Nations measurement framework. The MAF report also called for national level surveys and implementation of policy recommendations.
  - In preparation for this, we organised workshops with experts around the world to provide feedback on the government response framework used and our findings.



- Following the publication of the MAF Report, we hosted a roundtable with civil society representatives and one with government representatives in the UK to make sure our findings translated into policy.
- In 2020, as a result of the Covid-19 pandemic that affected the whole world, we launched a number of reports through virtual events. These reports included our *Eradicating modern slavery: An assessment of Commonwealth government progress on achieving SDG Target 8.7* research, and *Stacked Odds*, a report that examined why women and girls are more vulnerable to modern slavery throughout their lifecycle.
- We have strengthened our academic partnerships, partnering with the University of Edinburgh, the Massachusetts Institute of Technology, the University of Southern Mississippi, and the University of Western Australia on a number of workshops and symposiums.
- We hosted a number of workshops in Ghana, Ethiopia, Fiji and India to present the *Global Slavery Index (GSI)* findings and incorporate survivor feedback into our research.
- We continued engaging with questions of methodology, big data and technology through our engagement with USAID workshops on big data in modern slavery, a paper on Digital Insights and a number of roundtables around the world.
- In 2020, Walk Free played an instrumental role in having fish products from Taiwan and China added to the U.S. Department of Labor's List of Goods Made with Forced and Child Labor. Our ongoing engagement has also supported the creation of withhold release orders by the U.S. Department of Homeland Security to prevent the importation of these goods from China and Taiwan.

### **Global Freedom Network:**

Walk Free also engages with faith groups through the Global Freedom Network.

- This work involves hosting and participating in learning and dialogue events, and workshops which are designed to raise-awareness and build partnerships to strengthen our collaborative efforts to end slavery.
- We have collaborated with faith-based learning institutions in Australia to develop a teaching curriculum on modern slavery and faith for youth.

### **BUILDING COMMUNITY**

#### **Connected, collaborative and creative communities.**

Our Building Community initiative seeks to empower people to identify their own solutions and drive sustainable social change. Working across our arts, culture, community and environment portfolios, we develop partnerships with organisations that aren't afraid to try new things. We apply rigorous due diligence to all opportunities, co-develop ambitious targets and look for solutions that haven't previously been considered. (Minderoo website, link: <https://www.minderoo.org/building-community/>)

The Building Community initiative aims to achieve its goal of identifying and growing ground-breaking partnerships that contribute to resilient, cohesive and creative communities through a range of activities embedded in its three portfolios:



- We believe in the power of arts and culture to build connected, creative, and vibrant communities because there is a proven connection between arts participation and social and economic outcomes. We have continued our partnership with leading artistic institutions in Western Australia, such as the Perth Symphony Orchestra (PSO), the Black Swan State Theatre Company, the West Australian Opera, and the Western Australian Academy of Performing Arts (WAAPA), among others. (Minderoo Annual Report 2020, page 58-59)
- Despite the Covid-19 Pandemic, we were able to continue supporting Western Australian Art by launching a series of micro-gigs with West Australian Music and brought the joy of music to vulnerable communities during lockdown. Our partners and projects reached more than 240,000 people in metro and regional locations, created opportunities for more than 1,500 artists and enabled more than 1,000 activities. (Minderoo Annual Report 2020, page 59)
- Our Community Projects portfolio supports vulnerable groups recovering from conflict or natural disasters, strengthens the health and wellbeing of individuals, increases access to education and aims to build philanthropy at a community level. With a focus on Western Australia, Minderoo's health and wellbeing partnerships support people in regional and remote communities, particularly in Indigenous communities. (Minderoo website, link: <https://www.minderoo.org/community-projects/>)

Through our Environmental Partnerships, we support programs that improve and sustain a healthy ecosystem, preserve cultural heritage and encourage deeper environmental awareness in the community. We want to ensure we can all continue to enjoy our natural environment now and into the future. (Minderoo website, link: <https://www.minderoo.org/environmental-partnerships/>)

## FLOURISHING OCEANS

### Returning oceans to a flourishing state.

Minderoo Foundation's Flourishing Oceans initiative, launched in 2018, has dedicated \$100 million and in kind support to facilitate research and advocacy. Our collective aim is to allow healthy oceans to survive the Anthropocene. (Minderoo website, link: [https://cdn.minderoo.org/content/uploads/2019/02/06090200/Flourishing-Oceans-Invite\\_190206-digital-p.pdf](https://cdn.minderoo.org/content/uploads/2019/02/06090200/Flourishing-Oceans-Invite_190206-digital-p.pdf))

Flourishing Oceans strives to eliminate overfishing by 2030 and expand marine protected areas globally to more than 30 per cent by 2030. We work to eliminate the harmful effects of plastics on the ocean environment and to understand the potential impacts of plastic exposure on human health. We endeavour to educate the public about the threats faced by the ocean, so that they will support our advocacy for better legislation and regulations and demand better choices as consumers. (Minderoo 2020 Annual Report, page 76) These goals are being advanced through a series of activities detailed below:

- Address overfishing via multiple efforts, most notably through the publication of a world-first Global Fishing Index, which when published in 2021, will provide a country-level assessment of



the status of fish stocks and fisheries governance. The index will inform advocacy efforts to improve fisheries management, to ensure that all fish are sustainably and legally caught by 2030.

- Drive declaration of new marine protected areas through a major global partnership with Pew Charitable Trust, Conservation International and others, and undertake research to gain support for increased protection of Australian (Commonwealth) and Western Australian territorial waters.
- Build domestic and global partnerships with research institutions to understand how plastic exposure may affect human health, through studies on pregnant mothers and babies, and older people. This research will guide advocacy to improve regulations related to plastic production and use.
- Invest in Western Australia's marine science community by building world-class facilities to support globally significant research, including the new Minderoo Exmouth Research Laboratory which is a 20+ year commitment to the Ningaloo region. This is coupled strongly with marine exploration through investment in a deep-sea research facility at The University of Western Australia which will explore the deepest depths of the Indian Ocean, and ongoing public education efforts including investment in the dramatisation of Tim Winton's novel "Blueback".
- Use novel genomic and bioinformatic approaches combined with ground-breaking marine sampling technologies, dubbed 'OceanOmics' to monitor ocean wildlife populations at speed and scale. (Minderoo Annual Report 2020, page 78)

## FRONTIER TECHNOLOGY

### **Building a digital economy that empowers people.**

Frontier Technology is an initiative of the Minderoo Foundation launched in 2019 to address the risks and challenges presented by the lack of meaningful accountability for data aggregators and AI developers of all stripes. The Frontier Technology initiative tackles lawlessness in the tech ecosystem. It promotes laws, policies, collaborations and institutions that effectively govern big tech, increase workers' rights, and give the public a louder voice in the tech space. (Minderoo Annual Report 2020, page 82)

The Frontier Technology initiative is committed to promoting world-class academic research and policy, as well as supporting communities, to build a safe tech ecosystem for the benefit of the general public. The world is familiar with what this looks like in every other domain of society, where codes, laws, and standards all coexist to guarantee personal safety. But global technology companies often operate outside the borders of national regulation in a state of exception, despite our deep digital dependencies.

Accountability must rest with those who develop and profit from these products, as well as with governments. A safe world means a world designed for agency and freedom, where everyone has confidence in interacting with new technologies and the opportunities they present. (Minderoo website, link: <https://www.minderoo.org/frontier-technology/>)

## RESEARCH

### **Research and innovation that enables change.**



Minderoo is a research-driven foundation. All of our initiatives are guided by evidence-based approaches to complex challenges. Through the Forrest Research Foundation and the Research for Impact projects we try to ensure Minderoo's data-driven work is high quality, trusted, credible and impactful. (Minderoo Annual Report 2020, page 100) Research and innovation are also critical to finding new solutions to problems that have remained unsolved over time. Research informs our strategy development, decision making and advocacy. (Minderoo website, link: <https://www.minderoo.org/research/>)

The Forrest Research Foundation was established in 2014 by a \$130 million donation, and aims to create a world-leading, collaborative centre of research. We are expanding our research footprint through funding and participation in numerous projects and partnerships across our initiatives. In 2020, around 90 of our more than 200 partnerships related to research. (Minderoo Annual Report 2020, page 102)

## **NO PLASTIC WASTE**

**Eliminate the negative impacts of plastic on people and the planet.**

Minderoo's 'No Plastic Waste Initiative' aims to enable businesses, governments, and consumers to take effective actions that end plastic pollution in a generation. (Minderoo 2020 Annual Report, page 94). The way to accomplish this is by working with industry to create a market-led model for a circular plastics economy. We create greater transparency across the plastics supply chain. We incubate technological and economic innovations that reduce recycling costs, create alternatives to plastic and recover plastic that is already polluting our environment – particularly the ocean.

The way to accomplish these ambitious goals is by bringing together companies from across the plastics value chain and other experts in the field, to develop a new market-based solution that improves investment conditions for plastic recycling, makes plastic waste a cashable commodity and prevents plastic from leaking into the environment. Minderoo is also investing and incubating technologies and innovations to drive down the cost of plastic recycling, create alternatives to plastic, and enable cost-effective recovery of plastic from nature, including to the ocean. (Minderoo Annual Report 2020, page 96).

Our activities are organised into 4 projects:

- Sea the Future, an industry initiative that aims to reduce the cost of recycled plastic, in order to tip the scales in favour of re-using the plastics we already have, rather than creating new plastic.
- No Plastic Waste Campaign, a global movement that raises awareness of the plastic issue and encourages people, industry and Governments to act now to create a future with No Plastic Waste.
- Supply Chain Transparency, our world first research to generate transparency across the plastics supply chain to help industry, investors, governments and consumers more informed decisions about how plastics are funded, produced, consumed and disposed of.
- Technology & Innovation, which brings the world's smartest minds together to identify technologies and innovations that will identify where the plastic is in our oceans and how to remove it. (Minderoo website, link: <https://www.minderoo.org/no-plastic-waste/>)





## PART III. Measurement of Outcomes

### WALK FREE

**We can end modern slavery in our generation.**

Expertise provided by Walk Free has furthered the aims of Global Compact Local Network in Australia and around the world. This includes:

- Collaborating with the Australian Government to promote understanding of the Australian Modern Slavery Act, its purpose, and key requirements.
- Continued engagement with the private sector to drive a stronger commitment to combating modern slavery, promoting ethical recruitment, supply chain transparency and access to remedy.
- Improving understanding of the scale, forms, risks of, and responses to modern slavery through dissemination of findings from quality research to a wide variety of audiences.

Several partnerships with UN organisations, civil society, business, and faith networks have been entered into, or extended, with the mutual goal of advancing the UN Global Compact principles. These are specified under the relevant Walk Free pillars below.

Business & Investor Engagement:

- The Bali Process Government and Business Forum (**GABF**) Network has grown to over 400 participants from 47 countries (including observers).
  - 3 Business Bulletins were distributed to the GABF Network.
  - The GABF [website](#) was updated to drive private sector engagement.
  - Two virtual GABF events were attended by 200 delegates from 25 countries and included industry leaders, civil society experts and government representatives.
- Walk Free developed a Toolkit for Business and Investors, featured on the Walk Free and GABF websites. This has received 2500 views.

A full list of GABF, business and investor events are detailed below.

	Date	Location	Event	Details
1.	June 2019	Jakarta, Indonesia	Supply Chain Symposium, Bali Process Trafficking in Persons Working Group	Presentation on the Government and Business Forum; Moderated Ethical Recruitment Panel; Panel speaker: 'Supply chain transparency - where to start?'
2.	June 2019	Perth, Australia	Corrs Chambers Westgarth - Workshop	Presentation to 20 General Counsels on modern slavery in supply chains in Australia and business best practices.





3.	June 2019	Sydney, Australia	Responsible Business Alliance & Walk Free workshop	Co-hosted a half-day workshop for business on modern slavery due diligence with Responsible Business Alliance.
4.	August 2019	Perth, Australia	Global Compact Network Australia - Modern Slavery Community of Practice	Global Compact Network (GCNA) member companies discussing the Australian Modern Slavery Act. Presentation on the Global Slavery Index, the GABF and beyond compliance.
5.	August 2019	Perth, Australia	Allens law firm - Workshop	Presentation on GSI and the Modern Slavery Act.
6.	September 2019	New York, USA	Lichtenstein Commission report launch, UNGA week	Walk Free announced that we will partner with the Commission to implement the recommendations in the Asia Pacific region.
7.	September 2019	Perth, Australia	"In The Zone" Conference (Perth USAsia Centre)	Presentation on 'Unfree Labour in the global mining industry'
8.	October 2019	Perth, Australia	Walk Free workshop, Energy Procurement Supply Association Annual Conference	Presented on the Modern Slavery Act in Australia, and the important role companies can play in combatting modern slavery.
9.	October 2019	Bangkok, Thailand	Emerging Business Initiatives to Combat Modern Slavery	Private sector awareness raising event, co-hosted with the Mekong Club and Thomson Reuters Foundation.
10.	October 2019	Bangkok, Thailand	Asia Banks Alliance Meeting	Walk Free, the Financial Sector Commission, Mekong Club and Thomson Reuters Foundation hosted a "Banks Alliance" meeting to roll-out a toolkit to help banks identify and report on transactions linked to modern slavery.
11.	October 2019	Perth, Australia	French Australia Chamber of Commerce and Industry - Business Forum	Panellist, presented on the Modern Slavery Act in Australia, and the important role companies can play in combatting modern slavery.



12.	November 2019	Melbourne, Australia (via VC)	Singtel Group Sustainability Leaders' Annual Gathering	Presentation to Singtel Group (includes Optus, AIS, Telkomsel, Globe and Bharti Airtel) on the Modern Slavery Act in Australia, and the important role companies can play in combatting modern slavery.
13.	May 2020	Melbourne, Australia (virtual)	Australian Trade and Logistics Corporation Webinar	Panellist: Modern Slavery Reporting for Importers & Exporters
14.	May 2020	Perth, Australia (virtual)	Walk Free launch: Protecting People in a Pandemic	Walk Free webinar on the release of the <i>Protecting People in a Pandemic</i> report
15.	June 2020	Abu Dhabi, UAE (virtual)	Global Forum on Migration and Development - Bali Process Online Regional Consultation	Walk Free's <i>Protecting People in a Pandemic</i> report was published on the GFMD website and used as background for the online breakout session 'Addressing Gaps in Migrant Protection'.
16.	July 2020	New York, USA (virtual)	UN High Level Political Forum: Sustainable Finance's Role in Reducing Modern Slavery	Walk Free appeared on a panel with the Liechtenstein Initiative for Finance Against Slavery and Trafficking.
17.	July 2020	Melbourne, Australia (virtual)	B Corp Monthly Supply Chain Workshop	Keynote to B Corps at a workshop on institutional investors, and supply chain due diligence approaches during the global pandemic.
18.	August 2020	Melbourne, Australia (virtual)	Australian Sustainable Finance Initiative (ASFI) case study interview.	Interview with ASFI to include the FAST initiative in ASFI's final roadmap published end-November 2020.
19.	September 2020	Melbourne, Australia (virtual)	Pre-launch informational webinar for IAST APAC	Webinar for investors interested in participating in Investors Against Slavery and Trafficking Asia-Pacific (IAST APAC).



20.	September 2020	Perth, Australia (virtual)	Consultation & Innovation Session - Fishing Sector	Hosted in our role as secretariat to the Government and Business Forum in collaboration with DFAT, Kemlu and Finance Against Slavery and Trafficking.
21.	October 2020	Perth, Australia (virtual)	Consultation & Innovation Session - Financial Sector	Hosted in our role as secretariat to the Government and Business Forum in collaboration with DFAT and Kemlu.
22.	October 2020	Melbourne, Australia (virtual)	Interview with Australian Human Rights Commission and KPMG	The Australian Human Rights Commission interviewed Walk Free to provide a case study on IAST APAC and information on Modern Slavery Statements analysis work for inclusion in the AHRC/KPMG financial sector guidance to be published in January 2021.
23.	October 2020	Perth, Australia	WA Modern Slavery Collaborative - Workshop	Presentation on modern slavery and our Toolkit for Business & Investors to a group of sustainability and human rights leads from Perth-based mining, energy & extractives companies.
24.	November 2020	Canberra, Australia (virtual)	Trafficking in Persons Working Group - Compendium of Good Practices	Presented an update on the GABF and moderated one session of the Working Group.
25.	November 2020	Melbourne, Australia (virtual)	IAST APAC launch	Webinar presentation.
26.	November 2020	Melbourne, Australia (virtual)	Expert Seminar: Modern Slavery: Investment Risks and Opportunities	Presentation on (i) IAST APAC and (ii) experience on internal and external stakeholder engagement within the institutional investment area on modern slavery and human trafficking risks. to a European audience (Vaduz Seminar).
27.	November 2020	London, UK (virtual)	Monitoring Modern Slavery in Investment Portfolios	Description of Walk Free's Beyond Compliance project that assesses UK Modern Slavery Statements.



28.	November 2020	Perth, Australia	WA Modern Slavery Collaborative - Remedy Workstream	Hosted a workshop with Remedy workstream, a subgroup of sustainability and human rights leads from Perth-based mining, energy & extractives companies.
29.	December 2020	Melbourne, Australia (virtual)	IAST APAC Collaborative Engagements Workstream pre-commencement briefing session	Hosted a pre-commencement briefing session to lay out a roadmap for IAST APAC participants.
30.	December 2020	Melbourne, Australia (virtual)	FAST podcast episode - Investor Engagements	Hosted a podcast episode examining the relationship between modern slavery and institutional investing.
31.	January 2021	Perth, Western Australia	WA Modern Slavery Collaborative - Remedy Workstream	Hosted a workshop with Remedy workstream, a subgroup of sustainability and human rights leads from Perth-based mining, energy & extractives companies.
32.	February 2021	Canberra, Australia (virtual)	Break the Chain, ASEAN-Australia Strategic Youth Partnership	Panellist: Interdisciplinary Approaches and Collaboration - discussing the GABF and modern slavery.
33.	February 2021	Perth, Western Australia	WA Modern Slavery Collaborative quarterly meeting	Provided update to WA Modern Slavery Collaborative, a group of sustainability and human rights leads from Perth-based mining, energy & extractives companies.

#### Walk Free Research:

Since 2019, Walk Free has maintained its commitment to leading research into modern slavery and disseminating our best practices, findings and expertise across the world. We have done this by:

- Continuing our partnerships with the leading UN organisations in this space, including the ILO and the IOM, UNODC, and the Alliance 8.7, among other institutions.
- The publication of a suite of high-quality publications that have allowed us to drive forward engagement with civil society, business and governments.
- Expanding our network of partners across civil society, academia, statistical experts, and government through engagement across the various world regions.



- A strong record of participation in conferences, working groups, seminars, and at various other fora across the world in order to raise-awareness and push the field towards stronger measures, deepened understanding and stronger responses. A snapshot of some of these events is listed below (Note: asterisk denotes events hosted or co-hosted by Walk Free):

	Date	Location	Event	Details
1.	February 2019	New York City, New York, United States of America	UN Code 8.7 Conference	
2.	March 2019	London, United Kingdom	CPA UK Legislative Drafting Workshop	Presentation on GSI 2018 findings to Commonwealth Parliamentarians and held a series of roundtables with Parliamentarians on role of business.
3.	March 2019	Edinburgh, Scotland	University of Edinburgh and Walk Free Foundation Expert Working Group on Multiple Systems Estimates (MSE)	Develop content for a Special Edition of Journal of Modern Slavery on improving MSE estimation techniques.
3.	April 2019	Online	University of Southern Mississippi Anti-Trafficking Conference	Keynote Speech at conference, presented on global prevalence estimation.
4.	April 2019	Kolkata, India	Lived Experience Expert Group	Workshop to obtain survivor feedback on government response framework.
5.	April 2019	Addis Ababa, Ethiopia	GSI Regional Workshop*	Presentation of GSI findings specific to Africa.
6.	May 2019	Cambridge, Massachusetts, United States of America	MIT President's Conference: SOLVE@MIT	Provide major address on work of Global Slavery Index and how it represents innovation in development of science for the public good
7.	June 2019	London, United Kingdom	Expert Working Group, London*	Held workshop to provide feedback on the government response framework and findings.
8.	June 2019	Suva, Fiji	Pacific Islands Workshop*	Presented the preliminary findings of Walk Free research on modern slavery in the Pacific to 30 local and



				international stakeholders in the Pacific Islands region and recommendations for the region.
9.	July 2019	New York City, New York, United States of America	Measurement, Action, Freedom (MAF) Report Launch	Report launched in NYC at the High-Level Political Forum. Attended by 120 attendees from government, civil society, business, and academia
10.	July 2019	London, United Kingdom	Roundtable on MAF Report*	Roundtable held with civil society working on SDG 8.7 to discuss the findings of the MAF report and plans for CHOGM 2020
11.	August 2019	London, United Kingdom	Government away day-developing UK government modern slavery strategy*	Presentation on MAF report with cross- Whitehall government representatives to inform ongoing UK government strategy to combat modern slavery.
12.	September 2019	Perth, Western Australia, Australia	UWA Modern Slavery Symposium	Presentations on forced marriage and slavery in the fishing industry.
13.	October 2019	London, United Kingdom	Unlocking potential: Blueprint for mobilising financial sector to combat modern slavery*	Roundtable held with civil society on the FAST initiative and blueprint launch.
14.	October 2019	San Francisco, California, United States of America	Connecting IUU Fishing, Overexploitation, & Human Rights Abuses in Global Fisheries	Presentation on the GSI and analysis of fishing risk based on GSI model.
15.	October 2019	Washington, D.C., United States of America	USAID's Democracy, Human Rights, and Governance Center's 2019 Counter-Trafficking in Persons Evidence Summit	Presentation on GSI and Global Estimates of Modern Slavery



16.	November 2019	London, United Kingdom	Report Launch	Launched report assessing modern slavery act statements produced by the hotels sector and how to improve these.
17.	December 2019	Winneba, Ghana	Lived Experience Expert Group*	In partnership with local NGO Challenging Heights, ran workshop to obtain survivor feedback on government response framework
18.	December 2019	Accra, Ghana	NGO Workshop*	Ran workshop with more 37 attendees from 23 civil society organisations to present MAF findings and discuss policy priorities for Ghana and West Africa
19.	December 2019	Perth, Western Australia, Australia	CPA Asia-Pacific Regional Workshop	Presentations by Walk Free members on orphanage trafficking, modern slavery and supply chains. Senior members moderated a session on the fishing industry and on regional cooperation
19.	February 2020	United States (Virtual)	Protecting People in a Pandemic Report Launch	Presentation on COVID-19 protections for vulnerable populations taken by governments and businesses.
20.	July 2020	London, United Kingdom (Virtual)	Report launch*	Launch and presentation on findings from report "Eradicating modern slavery: An assessment of Commonwealth government progress on achieving SDG Target 8.7"
21.	Oct 2020	United States (Virtual)	Report launch at United Nations	Launch of the "Stacked Odds" Report
22.	November 2020	United States (Virtual)	USAID Asia Counter-Trafficking in Persons Summit	Presentation on Big Data on the modern slavery field.
23.	February 2021	United States (Virtual)	Alliance for Social Responsibility Lightning Round Panel on Slavery at Sea Work and Decent Work in Fisheries	Present work at the intersection of modern slavery and fisheries to a wide group of stakeholders.





### **Global Freedom Network:**

- The Global Freedom Network reached out to signatories in 2019 on the 5<sup>th</sup> Anniversary of the original “Joint Declaration of Religious Leaders Against Modern Slavery” in order to gather their feedback and input on how to engage with faith communities better.
- During 2020 we developed an App to provide tools and resources to faith communities and leaders which is in its final stages and will be trialled in the first half of 2021.
- We are planning to host a workshop and round-table of religious leaders in West Africa in 2021, depending on Covid-19 restrictions.
- We are also developing faith-based curricula.

### **BUILDING COMMUNITY**

**Connected, collaborative and creative communities.**

**Minderoo's Building Community Initiative has advanced Principles 8 and 9 of the Global Compact through a series of key achievements:**

- The Nature Conservancy's installation of the pilot mussel reef structure, in collaboration with Minderoo Foundation, worked to provide cleaner water, more fish, and greater biodiversity to the Swan Canning Estuary. (Minderoo 2020 Annual Report, page 61)
- Minderoo donated to the restoration of the pylons and the marine laboratory and artificial reef project at the Busselton Jetty. (Minderoo 2019 Annual Report, page 77) The jetty is home to over 300 marine species and its submerged piles form one of the world's largest artificial reefs. (Minderoo website, link: <https://www.minderoo.org/environmental-partnerships/>)

### **FLOURISHING OCEANS**

**Returning oceans to a flourishing state.**

Flourishing Oceans has advanced the United Nations' Global Compact Principle 9 and Sustainable Development Goal (SDG) 14 in a number of ways:

- Activated a global network of over 500 individuals to collect novel data on how fisheries are governed around the world. This information was used to characterise governance in 145 countries and is the most comprehensive assessment of fisheries governance to date. (Minderoo Annual Report, page 80)
- Formalised involvement in the Blue Nature Alliance, a partnership with the Pew Charitable Trust, Conservation International, the Walton Family and the Global Environment Facility. With a contribution of US\$25 million over five years, this represents one of Flourishing Oceans' most significant partnership endeavours to date, and aims to seek declaration of 30 per cent of the world's oceans as marine protected areas by 2030.



- Our research analysts completed an expedition around Shark Bay and Ningaloo in collaboration with The University of Western Australia, to measure species composition, biodiversity, and abundance.
- Commenced works on the Minderoo Foundation Exmouth Research Laboratory, which is scheduled to launch in early 2021.
- Commenced a quantitative evidence review showing that exposure to specific plastic chemicals is associated with human health conditions including metabolic disease, childhood sexual development and puberty, adult reproductive health, cancers and some aspects of childhood brain development.
- Planned the first OceanOmics feasibility expedition using Minderoo Foundation's research vessel Pangaea Ocean Explorer, to collect samples on remote reefs on the Great Barrier Reef in October 2020. (Minderoo 2020 Annual Report, page 80)

## FRONTIER TECHNOLOGY

### **Building a digital economy that empowers people.**

In 2020, Minderoo Foundation established a Tech Impact Network of world leading academics across five universities and backed with a commitment of \$20 million. This network is organised around several guiding questions that make up distinct foci of research, including:

- Tackling lawlessness: "What if the digital world was safe and empowering for everyone to travel through?"
  - Aim: increase the number of jurisdictions with new laws, policies and governance practices that effectively govern big tech and protect the human rights of people and communities.
- Empowering workers: "What if the future of work meant human autonomy rather than automating humans?"
  - Aim: provide expertise and support to existing workers' rights organisations and networks in 'hotspot' locations around the world to fight for fairness and dignity of workers amid technological transition.
- Reimagining tech: "What if we built technology that empowers and inspires the diversity of communities rather than extracts and tracks?"
  - Aim: place community-driven voices and the public interest back in the driver's seat. The 'Reimagine Tech' program draws upon creative communications, art, and innovation to reset the public's vision of the future.

The Tech Impact Network is already uncovering evidence for the violation of human and civil rights by the business practices of technology companies, and leading the intellectual movement to scale solutions. These solutions include:

- The Minderoo Centre for Technology and Democracy (University of Cambridge);



- The Minderoo Tech & Policy Lab (University of Western Australia) with associated projects initially at the University of Sydney, the Australian National University, and the Australian Academy of Science;
- The Minderoo Initiative on Technology and Power (University of California, Los Angeles);
- The Minderoo Initiative on Technology and Society (New York University); and
- The Minderoo-Oxford Challenge Fund in AI Governance (University of Oxford) (Minderoo Annual Report 2020, page 84)

## **RESEARCH**

### **Research and innovation that enables change.**

Minderoo's research and innovation culture has been embedded across our different work streams through a series of key activities, all of which have contributed to advancing several of the UN Global Compact's Principles.

- Our Forrest Scholars have submitted research publications on biodiversity in the Pilbara, the Kimberley Region (Western Australia) as well as across New Zealand and other countries. (Minderoo Annual 2019 Report, page 90-93)
- Our Research initiative is closely involved in the development of these projects, to ensure quality and consistency, and build Minderoo's reputation as a research-led organisation. Our areas of focus in 2019-20 included the Global Fishing Index, the Plastics and Human Health Program along with internal projects on impact measurement and climate change. (Minderoo Annual Report 2020, page 102).

## **NO PLASTIC WASTE**

### **Eliminate the negative impacts of plastic on people and the planet.**

**Minderoo's "No Plastic Waste" initiative has advanced Principles 8 and 9 of the Global Compact through a series of activities over the last 2 years.**

- Signed a Letter of Intent with the Government of Indonesia to pilot a national market-led model that will rapidly scale up plastic recycling and waste collection.
- Secured the support of multinational companies to engage in deploying a market-led solution in three pilot countries, including Indonesia, including a co-investment approach.
- Invited four of the world's leading engineering, marine operations and ocean research organisations to participate in our inaugural Ocean Plastics Recovery Challenge, to develop solutions to identify, recover and process plastics from the Great Pacific Garbage Patch, the largest oceanic accumulation of trash in the world.
- Made a multi-year partnership commitment to Investor Watch, a not-for-profit whose initiatives include Planet Tracker and Carbon Tracker, to enable work to track the financial impact of plastics.



- Supported world-renowned Australian yachtsman Jon Sanders AO OBE on his record 11th solo circumnavigation of the world, enabling him to collect water samples for researchers at Curtin University that will build a more detailed picture of plastic pollution across the Southern Hemisphere.
- Established Minderoo Foundation as a leading voice on the issue of plastic waste on social media, through the #NoPlasticWaste campaign. (Minderoo 2020 Annual Report, page 97)